



Atty. Dkt. No. 027756-0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arthur Willard CHAFFEE
Title: INVESTMENT PORTFOLIO
TRACKING SYSTEM AND
METHOD
Appl. No.: 09/410,825
Filing Date: 10/01/1999
Examiner: Unassigned
Art Unit: 2761

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are references found during a preliminary examination search conducted by a professional patent search firm in support of applicant's petition to make special filed concurrently herewith. The references are extensively discussed in the accompanying petition to make special.

The Examiner is requested to consider these references during the first Office Action and to acknowledge same by returning an initial copy of the enclosed PTO SB/08B form.

Respectfully submitted,

Date October 17, 2001

By David A. Blumenthal

FOLEY & LARDNER
2029 Century Park East, 35th Floor
Los Angeles, CA 90067-3021
Telephone: (310) 277-2223
Facsimile: (310) 557-8475

David A. Blumenthal
Attorney for Applicant
Registration No. 26,257

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